

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new, nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. 23712/111	
		First Inventor George Eckerdt	
		Title TANGIBLE SECURITY ASSET MANAGEMENT SYSTEM AND METHODS THEREOF	
		Express Mail Label No. EV138588116US	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	Mail Stop Patent Application ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 27 ] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications <i>(if applicable)</i> - Statement Regarding Fed sponsored R & D <i>(if applicable)</i> - Reference to sequence listing, a table, or a computer program listing appendix <i>(if applicable)</i> - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 15 ] 5. Oath or Declaration [Total Pages <input type="checkbox"/> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Unsigned c. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></td> </tr> <tr> <td style="width: 50%; vertical-align: top;">           9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))            10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of  <i>(when there is an assignee)</i> Attorney            11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>            12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS            Statement (IDS)/PTO-1449 Citations            13. <input type="checkbox"/> Preliminary Amendment            14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)  <i>(Should be specifically itemized)</i>            15. <input type="checkbox"/> Certified Copy of Priority Document(s)  <i>(if foreign priority is claimed)</i>            16. <input type="checkbox"/> Nonpublication request under 35 U.S.C.            122(b)(2)(B)(i). Applicant must attach form            PTO/SB/35 or its equivalent.            17. <input type="checkbox"/> Other: _____         </td> <td style="width: 50%;"></td> </tr></table>	<b>ACCOMPANYING APPLICATION PARTS</b>		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____	
<b>ACCOMPANYING APPLICATION PARTS</b>					
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____					


18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation   
 ☐ Divisional   
 ☐ Continuation-in-part (CIP)   
 of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: \_\_\_\_\_   
 Examiner \_\_\_\_\_   
 Group / Art Unit: \_\_\_\_\_

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>					
<input type="checkbox"/> Customer Number or Bar Code Label			or <input checked="" type="checkbox"/> Correspondence address below		
Name Nixon Peabody LLP					
Address Clinton Square, P.O. Box 31051					
City Rochester		State NY		Zip Code 14603-1051	
Country USA		Telephone (585) 263-1519		Fax (585) 263-1600	
Name (Print/Type) John Campa		Registration No. (Attorney/Agent)		49,014	
Signature 		Date		August 19, 2003	

22212 U.S. PTO  
 10/644383  
 08/19/03



## EXPRESS MAIL CERTIFICATE

DOCKET NO. : 23712/111

APPLICANT(S) : George Eckerdt

TITLE : TANGIBLE SECURITY ASSET MANAGEMENT SYSTEM  
AND METHODS THEREOF

Certificate is attached to the **Utility Patent Application Transmittal Form (1 page) in duplicate and Fee Transmittal Form (1 page) in duplicate** of the above-named application.

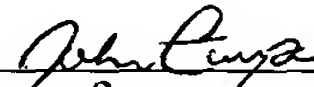
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DATE OF DEPOSIT: August 19, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

John Campa

(Typed or printed name of person mailing  
paper or fee)



(Signature of person mailing paper or fee)

PATENT  
Docket No.: 23712/111

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants	:	<b>George Eckerdt</b>	)	Examiner:
			)	<b>To be assigned</b>
Serial No.	:	<b>To be assigned</b>	)	
			)	Art Unit:
Cnfrm. No.	:	<b>To be assigned</b>	)	<b>To be assigned</b>
			)	
Filed	:	<b>Herewith</b>	)	
			)	
For	:	<b>A TANGIBLE ASSET MANAGEMENT</b>	)	
		<b>SYSTEM AND METHODS THEREOF</b>	)	

**SUBMISSION OF FORMAL DRAWINGS**


Mail Stop: Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the subject application are **FIFTEEN (15)** sheets of formal drawings.

Respectfully submitted,

Date: August 19, 2003

  
\_\_\_\_\_  
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Registration No. 49,014

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